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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/662,752	09/15/2003	Rebecca L. Twigg	CING-124 1547	
39013 MOA77AM &	7590 08/24/2007 ASSOCIATES, LLC		EXAMINER	
7601 LEWINSVILLE ROAD			PEACHES, RANDY	
SUITE 304 MCLEAN, VA 22102		•	ART UNIT	PAPER NUMBER
<b>,</b>			2617	
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			08/24/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)			
	10/662,752	TWIGG ET AL.			
Office Action Summary	Examiner	Art Unit			
	Randy Peaches	2617			
The MAILING DATE of this communication app	ears on the cover sheet with the	correspondence address			
Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATIO 36(a). In no event, however, may a reply be to vill apply and will expire SIX (6) MONTHS fror cause the application to become ABANDON	N. imely filed not be the mailing date of this communication. ED (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on <u>13 August 2007</u> .					
7—	☐ This action is <b>FINAL</b> . 2b) ☐ This action is non-final.				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims	•				
4) Claim(s) 4-22 is/are pending in the application.					
4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.					
6) Claim(s) <u>4-22</u> is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/or election requirement.					
Application Papers					
9) The specification is objected to by the Examiner.					
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).					
a) All b) Some * c) None of:					
1. Certified copies of the priority documents have been received.					
2. Certified copies of the priority documents have been received in Application No.					
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list of the certified copies not received.					
Coo the attached actained chief actain for a not of the continue copies not reserved.					
•					
Attachment(s)  1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)					
Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail	Date			
3) Information Disclosure Statement(s) (PTO/SB/08)	5) Notice of Informal 6) Other:	Patent Application			
Paper No(s)/Mail Date 6)					

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#### **DETAILED ACTION**

#### Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 8/13/2007 has been entered.

## **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

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1. Claims 4-22 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-39 of copending Application No. 10/833,412. Although the conflicting claims are not identical, they are not patentably distinct from each other because the limitations of claims 4-22 are broad and are encompassed by the limitations of claims 1-39 of copending Application No. 10/833,412 and as such would have been obvious to one of ordinary skill in the art to implement the invention of the instant application as defined by claims 4-22 in order to facilitate a means for an efficient process to provide the network with the subscriber identity via a SIM.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Regarding *claims 4, 7 and 20* and its dependent *claims 5-6, 8-10, 12-19 and*21-22 the claims have similar and/or exact limitations as *claims 1, 11 and 21* in that the copending Application No. 10/833,412 details wherein a said device comprises a SIM module capable of receiving identification information about a subscriber from the said device to further transmit this information to the network. The instant application, though the claims are not detailed word-for-word, processes the information in an exact manner.

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## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 4-22 rejected under 35 U.S.C. 103(a) as being unpatentable over Vuoristo et al. (U.S. Patent Application 6,603,969 B1) in view of Mills (U.S. Patent Number 5,915,225).

Regarding *claim 4*, Vuoristo et al. discloses mobile station comprising:

device information; and logic, which, provides the device information to a SIM, receives

from the SIM a communication comprising the device information, and transmits the

communication to a network. See column 11 line 33-67 and column 12 lines 25-32.

The Examiner notes that Vuoristo et al. teaches of a Sim card containing user identification information, IMSI, which is known to those skill in the art, is retrieved from a SIM and transmitted to the network for registration purposes. However, because Vuoristo et al. is silent in detailing wherein information is received from the said SIM and transmitted to the network.

Mills teaches in column 2 lines 9-20, wherein a network requests information from a SIM and the SIM re-transmits the requested information back to the network for processing. See FIGURE 5

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Therefore at the time of the invention it would have been obvious to a person of ordinary skilled in the art to modify Vuoristo et al to include Mills in order to provide a means for the said SIM to transmit information back to the network.

Regarding *claim 5*, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim 4*, Vuoristo et al. discloses a said mobile station comprising: location information. See column 11 lines 36-46; and said signal message (3-1), when applied to the processor, provides the location information to the SIM. See column 11 lines 36-46.

Regarding *claim* 6, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim* 4, Vuoristo et al. discloses column 12 lines 34-44. said signaling message (3-1), receives at least one of settings and logic from the network, and applies the settings and logic to effect configuration.

Regarding *claims* 7 *and* 19, Vuoristo et al. discloses in column 11 line 33-67 a SIM comprising:

signaling message (3-1) which receives device information from the terminal
device, and, when the terminal device is different than the terminal device used
with the previous activation of the SIM (see column 11 lines 33-67), formulates a
communication comprising the device information, and causes the

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communication to be transmitted to a network, based on the subscriber number. See column 6 lines 24-37 and column 1 lines 60-67.

The Examiner notes that Vuoristo et al. teaches of a Sim card containing user identification information, IMSI, which is known to those skill in the art, is retrieved from a SIM and transmitted to the network for registration purposes. However, because Vuoristo et al. is silent in detailing wherein information is received from the said SIM and transmitted to the network.

Mills teaches in column 2 lines 9-20, wherein a network requests information from a SIM and the SIM re-transmits the requested information back to the network for processing. See FIGURE 5

Therefore at the time of the invention it would have been obvious to a person of ordinary skilled in the art to modify Vuoristo et al to include Mills in order to provide a means for the said SIM to transmit information back to the network.

Regarding *claim 8*, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim 7*, Vuoristo et al. discloses a SIM comprising: logic which receives location information from the terminal device, formulates a communication comprising the location information, and causes the communication to be transmitted to a network. See column 11 lines 36-46.

Regarding *claim 9*, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim 7*, Vuoristo et al. discloses a SIM comprising: user

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information (see column 6 lines 9-12); and logic which, when applied to the processor, formulates a communication comprising the user information, and causes the communication to be transmitted to a network. See column 11 lines 3-27.

Regarding *claim 10*, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim 7*, Vuoristo et al. discloses a SIM comprising: logic which communicates the device information to the network via at least one of SMS, EMS, and MMS. See column 5 lines 48-58.

Regarding *claim 11*, Vuoristo et al. discloses a network comprising:

- a base station subsystem (BSS). See column 12 lines 1-10;
- subscriber information. See column 11 lines 54-67; and
- logic which, when executed by one or more network elements of the network,
   locates subscriber data in response to a communication from a terminal device,
   identifies subscriber services, determines terminal device settings, and
   communicates the settings to the terminal device. See column 12 lines 1-65.

However, because Vuoristo et al. is silent in detailing wherein SIM generated information is transmitted back to the network.

Mills teaches in column 5 lines 40-46, wherein a network requests information from a SIM via an SMS message and the SIM transmits the requested information back to the network via another SIM-generated SMS message for processing.

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Therefore at the time of the invention it would have been obvious to a person of ordinary skilled in the art to modify Vuoristo et al to include Mills in order to provide a means for the said SIM to transmit information back to the network.

Regarding *claim 12*, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim 11*, Vuoristo et al. discloses a network further comprising:

 one or more network elements to communicate with the terminal device using one of SMS, EMS, MMS, and SyncML. See column 5 lines 48-58.

Regarding *claim 13*, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim 11*, Vuoristo et al. discloses a network further comprising:

logic which, when executed, communicates configuration logic to the terminal device.

Regarding claim 14, Vuoristo et al. discloses a method comprising:

- in response to activation of a SIM in a terminal device, the SIM requesting device information from the terminal device;
- the device providing the device information to the SIM and ;

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 the SIM formulating a communication comprising the device information and causing the communication to be transmitted to a network. See column 6 lines 23-37.

However, because Vuoristo et al. is silent in detailing wherein SIM generated information is transmitted back to the network.

Mills teaches in column 5 lines 40-46, wherein a network requests information from a SIM via an SMS message and the SIM transmits the requested information back to the network via another SIM-generated SMS message for processing.

Therefore at the time of the invention it would have been obvious to a person of ordinary skilled in the art to modify Vuoristo et al to include Mills in order to provide a means for the said SIM to transmit information back to the network.

Regarding *claim 15*, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim 14*, Vuoristo et al. discloses a method further comprising:

 the SIM formulating the communication according to one of SMS, EMS, MMS, and SyncML. See column 5 lines 48-58.

Regarding *claim 16*, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim 14*, Vuoristo et al. discloses a method further comprising:

the device providing location information to the SIM. See column 11 lines 36-46;

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 the SIM formulating a communication comprising the location information. See column 11 lines 3-27; and

the SIM causing the communication to be transmitted to a network. See column
 11 lines 36-46.

Regarding *claim* 17, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim* 14, Vuoristo et al. discloses a method further comprising:

receiving at least one of software, which reads on claimed "settings and logic," from the network and applying the settings and logic to effect communication of the terminal device to receive services from the network. See column 12 lines 34-44.

Regarding *claim 18*, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim 14*, Vuoristo et al. discloses a method further comprising:

- the SIM formulating a communication comprising user information. See column
   6 lines 11-15; and
- the SIM causing the communication to be transmitted to a network. See column
   11 lines 36-46.

Regarding *claim 20*, Vuoristo et al. discloses a method comprising:

activating a SIM in a communication device. See column 11 lines 33-53;

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the SIM formulating a communication comprising the location information. See
 column 11 lines 3-27; and

- the SIM causing the communication to be transmitted to a network. See column
   11 lines 36-46.
- the SIM formulating a communication comprising the device information and causing the communication to be transmitted to a network. See column 6 lines 23-37.

Regarding *claim 21*, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim 20*, Vuoristo et al. discloses a method comprising: the communicating device communicating the message information and causing the communication to be transmitted to a network. See column 6 lines 23-37.

Regarding *claim* 22, as the combination of Vuoristo et al. and Mills are made, the combination according to *claim* 20, Vuoristo et al. discloses a network further comprising:

one or more network elements to communicate with the terminal device using one of SMS, EMS, MMS, and SyncML. See column 5 lines 48-58.

# Response to Arguments

Applicant's arguments filed 8/13/2007 have been fully considered but they are not persuasive.

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#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Randy Peaches whose telephone number is (571) 272-7914. The examiner can normally be reached on Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph H. Feild can be reached on (571) 272-4090. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Randy Peaches RP

SUPERVISORY PATENT EXAMINER